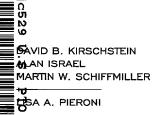
# KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.



ATTORNEYS AT LAW
PATENT, TRADEMARK AND COPYRIGHT CAUSES

551 FIFTH AVENUE NEW YORK, N.Y. 10176-0024 MORRIS KIRSCHSTEIN (1918-1978)

(212) 697-3750

BERTRAM OTTINGER (1937-1986)

FACSIMILE: (212) 949-1690

E-MAIL: 76623,351 I @compuserve.com

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(date)

Alan Israel Reg. No. 27,564

Docket No.: 0291XZ

# **PATENT**

Box: PATENT APPLICATION

THE COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

New York, New York

Sir:

January 20, 1998

Transmitted herewith for filing is the patent application of

Inventor

Mark KRICHEVER, Joseph CAMPANELLI, Lisa COURTNEY,

Peter FAZEKAS, Joel KAHN, Jerome SWARTZ, Vladimir GUREVICH

and Boris METLITSKY

For

OPTICAL SCANNER HAVING MULTI-FOCUS

LENS FOR MULTIPLE WORKING RANGES

Enclosed are:

[X] 32 sheets of specification, claims and abstract.

[X] 12 sheets of drawing prints.

[X] Declaration including Power of Attorney (unsigned).

[]	An assignment of the invention to and Form PTO-1595.						
[]	Disclosure Statement and cited art.						
[]	A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.						
[]	Applicant(s) through his (their) attorneys hereby claim(s) the benefit of the filing of his (their) Application No dated						
	This priority claim is made under Rule 55 of the Rules of Practice and Section 119 of the Patent Act of 1952.						
[]	A certified copy of the priority application.						
The filing fee has been calculated as shown below:							
	SMALL I			SMALL ENT	<u>ITY</u>	LARGE ENT	<u>ITY</u>
FOR:		NO. FILED	NO. EXTRA	<u>RATE</u>	<u>FEE</u>	RATE	<u>FEE</u>
BASIC TOTA	C FEE L CLAIMS	48-20=	28_	<u>x \$11=</u>	\$395 \$	<u>x \$ 22=</u>	\$790 \$616
INDEI	P. CLAIMS	<u>7 - 3=</u>	4_	<u>x \$41=</u>	<u>\$</u>	<u>x \$ 82=</u>	<u>\$328</u>
MULTIPLE DEPENDENT CLAIM PRESENTED				<u>+ \$135=</u>	<u>\$</u>	<u>+\$270=</u>	\$
			TOTAL:		\$0	OR TOTAL:	<u>\$1,734</u>
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  - [x] Any patent application processing fees under 37 CFR 1.17.
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  - [x] Any filing fees under 37 CFR 1.16 for presentation of extra claims.
- [X] A Preliminary Amendment.

Alan Israel

Registration No. 27,564 Attorney for Applicant(s)

#### **PATENT APPLICATION**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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(date)

Reg. No 27,564

In re: Application of

Mark KRICHEVER, et al.

Filed

January 20, 1998

For

OPTICAL SCANNER HAVING MULTI-FOCUS

LENS FOR MULTIPLE WORKING RANGES

New York, New York January 20, 1998

#### PRELIMINARY AMENDMENT

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Prior to calculation of the filing fee and before examination, kindly amend the above captioned application as follows:

#### **IN THE SPECIFICATION:**

Page 9, line 13, change "Fig. 6 shows" to -- Figs. 6a-6c show --.

#### **IN THE CLAIMS**:

Please amend the following claims:

Claim 10, line 2, change "any one of the preceding claims" to -- claim 1 ---.

Claim 11, line 2, change "any one of claims 1 to 9" to -- claim 1 --.

Claim 18, line 2, change "any one of claims 15 to 17" to -- claim 15 ---

#### **REMARKS**

Multiple dependent claims have been eliminated. The reference to Figs. 6a-6c has been clarified.

Wherefore, an early action on the merits is respectfully submitted.

Respectfully submitted,

KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.

Attorneys for Applicant(s)

551 Fifth Avenue

New York, New York 10176-0024

Tel: (212) 697-3750

Fax: (212) 949-1690

Alan Israel

Registration No. 27,564

# OPTICAL SCANNER HAVING MULTI-FOCUS LENS FOR MULTIPLE WORKING RANGES

#### **BACKGROUND OF THE INVENTION**

# 5 References to Related Application

This application is a continuation-in-part of US Serial No. 08/405,585 filed March 17, 1995.

# 10 Field of the Invention

The invention relates generally to optical scanners, and in particular to scanners having dual or multiple working ranges.

Most optical scanners such as bar code scanners are adapted for use at a particular distance, or a range of distances, from an indicia to be scanned. If the user holds the scanner too close to the indicia, or too far away, the indicia and/or the flying spot beam will not be in focus, and decoding will not be possible.

Such scanners may not be particularly convenient in environments where a series of indicia to be read are presented to the scanner at various distances, and where it is difficult or impossible for the user to alter the distance between the scanner and the indicia. To deal with such situations, attempts have been made to expand the acceptable working range of conventional scanners, to give the user as much leeway as possible, and also to provide multi-distance scanners which can operate, for example, at a first working range or at a second working range according to the user's preference or requirements. One possibility is for the provision of a two-position switch on the scanner, with the scanner operating at a first working distance in a first position of the switch and at a second working

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distance in a second position. A disadvantage of such scanners is that they require additional moving parts to provide for operation at the two separate working ranges. Such systems are also not "automatic" in the sense that the user has manually to select the correct working range, according to the distance of the current indicia to be read; if the incorrect working range is chosen, a decode will not result.

One of the difficulties that bar code reader designers face when attempting to produce increased working ranges is that the greater the working range, and the greater the range of possible indicia that might be read, the lower tends to be the resultant signal to noise ratio in light that is reflected from the indicia. One approach for dealing with this involves the provision of non-conventional optics, in which the optics associated with either the laser or with the photodetector have two distinct focal points. An example of this is shown in US Patent US 5, 332, 892, which is commonly assigned with the present application. In the device shown in that document, the two focal points are associated with corresponding circuitry to provide two separate channels of data derived from the scanned bar code. The two channels have differing resolutions. As the working angle and density vary, at least one of the resolutions is likely to be appropriate for sensing all or most of the bar coded data, regardless of the distance of the bar code with respect to the scanner and/or the size or density of the code. The scanning beams of bar code readers are typically derived from laser diodes. Such diodes are robust and relatively inexpensive, but they do suffer from the disadvantage that the beam emerging from a laser diode is astigmatic. The astigmatic laser diode can be characterised as having two apparent light sources spaced apart from each other along the optical path. One of the light sources lies in a horizontal plane, appears to be coming from inside the laser diode chip, and has a low angular

divergence. The other apparent light source lies in a vertical plane, appears to be coming from a facet of the chip, and has a high angular divergence. The two apparent light sources, which are spaced apart from each other by typically about 20 micrometers, form two beam waists in different planes and in different directions, as measured relative to the planar junction of the chip.

The resultant relatively complex beam profile may need selective shaping before it can efficiently be used in an optical scanner. Some methods of providing such beam shaping are described in our co-pending US patent application 08/268,982, filed June 30, 1994, the teachings of which are incorporated herein by reference.

A simpler option is simply to provide separate long and short range visible laser diodes, as is suggested in our earlier patent US 5,420,411.

A further problem associated with known arrangements is that of distinguishing the respective images received corresponding to objects in each working range.

The present invention, in various other embodiments, further relates to optical scanning stations having a conveyor for moving articles carrying indicia to be read past an optical scanner, and to optical scanners having means for manually or automatically selecting one of a plurality of desired scan patterns.

The invention further relates, in yet further embodiments, to scanners having an extended working range using two lasers, each focused to cover a different portion of the scan distance. Present systems turn on one laser for a full scan, and then the second laser for the next scan, alternating on a scan-by-scan basis. Since, in general, only one of the lasers is capable of reading a bar pattern at any given distance, this technique typically doubles the length of time that it takes to generate a successful decode over single-laser embodiments. There is a

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need to regain the aggressiveness of a one-laser scanner, while maintaining the range benefits of the two-laser system. One device making use of two lasers is disclosed in our co-pending application serial number 08/405,585, filed 3/17/95, the disclosure of which is incorporated herein by reference.

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#### **SUMMARY OF THE INVENTION**

# **Objects of the Invention**

It is an object of the present invention at least to alleviate the problems of the prior art.

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It is a further object to provide a multiple working range optical system, for example for a scanner arrangement capable of distinguishing between respective images corresponding to images in different working ranges.

It is yet a further object of the invention to provide a multiple working range optical scanner arrangement having a minimum of imaging and imagerecording elements.

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It is yet a further object of the invention to provide an optical scanner capable of being easily and conveniently mounted for optimum operation and user comfort.

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It is yet a further object of the invention to provide a convenient and easilyoperable optical scanning station, for example for use at a point of sale.

It is a further object to provide an optical scanner capable of generating different scan patterns using a single scanning mechanism.

It is yet a further object to provide an optical scanner which maintains the range benefits of a two-laser system, while providing the rapid decoding typical of a one-laser system.

#### Features of the Present Invention

According to a first aspect of the present invention there is provided a multiple working range optical system comprising an optical assembly having first and second optical elements and associated respective first and second narrow band optical filters for producing narrow band images of objects at respective first and second working ranges.

Preferably, the system includes a selectable filter array having first and second selectable filters, and a switch mechanism for selecting the first or second working ranges, whereby:

- (a) When the first working range is selected, light from an object is images by the first optical element in a narrow band defined by the first narrow band optical filter and the first selectable filter; and
- (b) When the second working range is selected, light from an object is imaged by the second optical element in a narrow band defined by the second narrow band optical filter and the second selectable filter.

In the preferred embodiment, light entering the optical system passes through both first and second narrow band optical filters, and to the first and second optical elements. The first optical element images an object at a first working distance at an image plane, and the second optical element images an object at a second working distance at the same image plane. Just in front of that image plane is selectively positioned either a first or a second selectable filter, the first selectable filter having the same optical characteristics as the first narrow band optical filter, and the second having the same optical characteristics as the

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second narrow band optical filter. When the first selectable filter is in position, light which has passed through the second narrow band optical filter is preferably blocked, so that the image is defined by light which has passed through the first narrow band optical filter only. Since this light has been acted upon by the first optical element, it represents the imaging of an object at the first working range. The opposite applies, of course, when the second selectable filter is in position.

The first and second optical elements are preferably segments of a multifocus diffractive lens, although other configurations could be envisaged.

One possible configuration, for example, would be for the first optical element to be defined by the central section of a circular multi-focus lens, with the second optical element being defined by the annular portion surrounding the central section. Such a lens might conveniently be a Fresnel lens. The optical elements need not however be restricted to lenses: mirrors and/or holographic optical elements could equally well be used.

Although such a multiple working range optical system will in the preferred embodiment be incorporated within an optical scanner, for example a bar code reader, other usages could be envisaged. For example, the system could be used in a CD ROM pick-up, a camera, a telescope or a microscope.

According to a second aspect of the present invention there is provided an optical scanner for reading indicia comprising a housing, a beam generator and scanner, within the housing for producing a scanning light beam, a window in the housing allowing the light beam to exit the housing toward the indicia to be read, and a light detector for detecting reflected light for said indicia; the housing having a strap for positioning around the neck of a user whereby the scanner may be worn as a pendant.

According to a further aspect of the present invention there is provided an optical scanning station having a conveyor for moving articles carrying indicia to be read past a support, the support being arranged to hold an optical scanner in an elevated position, above said conveyor, said optical scanner having a beam generator and scanner for producing a scanning light beam, said beam being directed downwardly to read indicia on articles that pass on said conveyor under said optical scanner.

According to yet a further aspect of the invention there is provided an optical scanner having first and second lasers for producing respective first and second laser beams, a laser controller for pulsing the lasers, a scanning mechanism for receiving the laser beams and for directing the beams toward an indicia to be read, a detector for detecting light reflected from the indicia and for producing electrical signals representative thereof, and a signal separator for extracting from the electrical signals first and second output signals representative respectively of reflections from the indicia of the first and second laser beams.

In one preferred embodiment, the lasers are pulsed alternately, with the signal separator including a demultiplexer. In another variant, the lasers are pulsed at differing frequencies, and the signal separation is carried out by appropriately tuned bandpass filters.

According to yet a further aspect of the present invention there is provided multi scan-pattern optical scanner including a laser assembly for producing a plurality of laser beams of differing wavelengths and a scanning mechanism including a wavelength selector for selectively passing a beam of predefined wavelength, thereby producing at least a first scan pattern from a beam which is passed by the selector and a second scan pattern from a beam which is stopped by the selector.

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A device of this type allows for the creation of multiple scan patterns without the need to have individual scanning mechanisms for each pattern. In a preferred embodiment, parts of the scanning mechanism are coated or otherwise provided with filters to absorb, or to prevent the reflection of, at least one of the beams. Alternatively, parts of the mechanism may be coated so that only those coated parts reflect one of the beams. Preferably, the coatings may be thin coatings which achieve their reflective/absorptive effect by optical interference.

It would also be possible to provide holographic optical elements and/or diffraction gratings to separate two laser beams of differing wavelengths, and thereby allow them to be deflected differently by a single scanning mechanism.

Preferably, each laser is provided with its own optical assembly, providing individual focusing and allowing each laser to scan efficiently at a given working distance. To extend the overall working range even further, more than two lasers could be used, each having its own working distance.

The invention extends to any individual feature described above or set out in the specific description, and to any compatible combination of features. It is to be understood, in particular, that features shown in relation to one figure may be combined, where compatible, with features shown in connection with any other figure.

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# **BRIEF DESCRIPTION OF THE DRAWINGS**

The invention may be carried into practice in a number of ways and several specific embodiments will now be described, by way of example, with reference to the accompanying drawings, in which:

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Fig. 1a shows a multiple range optical system according to an embodiment of the present invention;

- Fig. 1b is an end view of the multi-focus lens used in the arrangement of Fig. 2a;
- Fig. 2 shows a typical bar code scanner in conjunction with which the present invention may be implemented;
  - Fig. 3a shows a mounting option for an optical scanner;
- Fig. 3b shows a user carrying the optical scanner in the mounting option of Fig. 3a;
  - Fig. 4a shows an alternative mounting option for an optical scanner;
- Fig. 4b shows the optical scanner of Fig. 4a being used in dismounted mode;
  - Fig. 5 shows the internal arrangement of the scanner of Fig. 3a incorporating the multiple range optical system of Fig. 1; and
  - Fig. 6 shows an exemplary housing for a bar code scanner for use with any of the embodiments of the present invention;
- Fig. 7 schematically illustrates an embodiment of a scanner having two pulse-mode operated lasers;
  - Fig. 8 shows a variation on the embodiment of Fig. 7;
  - Fig. 9 illustrates the use of filtered optics to implement multi-scan pattern generation; and
- Figs. 10a to 10c show scan patterns generated by the embodiment of Fig. 9;
  - Fig. 11 shows yet another embodiment, namely a dual-range scanner using dual laser beams; and
- Fig. 12 shows in more detail a segmented scan mirror used in the embodiment of Fig. 11.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring first to Fig. 1, there is shown a multiple working range optical system according to one embodiment of the present invention, forming effectively an imaging auto-focusing device. The arrangement comprises a lens system  $L_1,L_2$  and a narrow band filter system  $NBF_1,NBF_2$ . Although any number of lenses and filters can be included allowing, as will become clear from the following discussion, a corresponding number of working ranges, in the present embodiment for the purposes of simplicity a system including two lenses and associated filters is shown, providing two working ranges.

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The lens system shown in Figs. 1a and 1b comprises two semi-circular convex lens elements  $L_1$ ,  $L_2$  with respective focal lengths  $F_1$ ,  $F_2$ . Two working ranges W1, W2 are selected and the lens power of the respective lens element is selected such that the conjugate image planes corresponding to the object planes defined by working ranges W1, W2 coincide at plane P. Accordingly a first object  $S_1$  at working range  $W_1$  is focused onto plane P by lens element  $L_1$ . Additionally a second object  $S_2$  at working range  $W_2$  is focused by lens element  $L_2$  at plane P. Thus the system is able automatically to focus objects at different ranges. It will be understood that, in the case of a bar code reader, the objects S<sub>1</sub>,S<sub>2</sub> would be bar code symbols to be read. Because the conjugate image planes coincide for the working ranges, only a single detector D is required; this could, for example, be a charge coupled device array, or conventional film. Furthermore complex beam propagation or mirror assemblies are not required. In order to increase the number of working ranges the lens system is simply enhanced by including further lens elements and associated filters arranged to image objects in additional working ranges onto the plane P. In such a case, each lens element would form a segment of a circular multi-focus lens.

Associated with each lens element  $L_1$ ,  $L_2$  is a respective spectral narrow band filter NBF<sub>1</sub>, NBF<sub>2</sub>. The filters NBF<sub>1</sub>, NBF<sub>2</sub> are arranged to pass different wavebands of light received from the objects  $S_1$ ,  $S_2$ . As a result the images of the objects  $S_1$ ,  $S_2$  at plane P are composed of light of different wavelengths and can be resolved from one another. In order to do this, the system is provided with additional movable, filters NBF<sub>1</sub>', NBF<sub>2</sub>'. Each filter can be moved into position in front of the detector D to allow light of the relevant wavelength to pass dependent on which working range is selected. If the working range  $W_1$  is selected, light from the object  $S_1$  passes through the lens element  $L_1$ , is filtered at NBF<sub>1</sub>, and then passes through the movable filter NBF<sub>1</sub>' (now in position in front of the detector D); light which may have passed through NBF<sub>2</sub> and lens element  $L_2$  is blocked at NBF<sub>1</sub>' and so cannot form an image at D. If the working range  $W_2$  is selected, NBF<sub>2</sub>' is moved into position, and  $S_2$  is imaged; light from  $S_1$  passing through  $L_1$  and NBF<sub>1</sub> is then blocked at NBF<sub>2</sub>'.

Figure 2 illustrates an exemplary hand-held laser scanner suitable for use with the embodiment of Figure 1, or indeed suitable for use with any other compatible embodiments to be subsequently described.

The scanner of Figure 2 comprises a main body 1 having a graspable hand portion 2 which carries a trigger 3. Within the body 1 is a laser module 4. Light from the laser module 4 is arranged to shine onto to an oscillating mirror 5. The resulting beam 6 passes out of the housing via a window 7. The mirror 5 is arranged to oscillate in such a way that the beam 6 traces out a scan line 8 across an indicia 9 to be recorded. Light reflected back from the indicia passes through the window 7, is collected by a collecting mirror 10, and is reflected to a photodetector 11. The optical signal is then converted into an electrical signal and the features of the indicia 9 determined.

In order to modify the bar code scanner a multiple working range optical system described in detail below is included in the system. The system can be inserted at an appropriate point in the path of the light beam reflected from the indicia 9, for example between the window 7 and the collecting mirror 10 or between the collecting mirror 10 and the photodetector 11. Indeed components of the standard bar code scanner such as the collecting mirror can be removed and replaced by the optical system according to the present invention.

The arrangement of Figure 1 may, in the preferred embodiment, be used in association with the housing shown in Figs. 2a and 2b. Fig. 2a shows, from left to right, a front, side and perspective view of a pendant-held scanner 20. As discussed below in more detail with reference to Fig. 5 the scanner 20 includes a housing 21 in which are included a light beam generating means such as a laser or light emitting diode, a light-beam-directing means such as a lens system, a scanner window 22 and a detecting means such as a CCD array. The light beam passes through the scanner window, is reflected by a printed indicia such as a bar code symbol and returns to be detected by the detecting means.

It will be seen that the scanner 20 is mounted on a strap 23 and, as shown in Fig. 2b, is carried by a user 24 with the strap 23 around the neck of the user 24. Accordingly if the user wishes to read a bar code symbol 25 on an item 26 the item 26 is simply positioned with the bar code symbol 25 pointing generally towards the user and the bar code symbol 25 is read automatically by the pendant scanner 20. It will be seen that the scanner window 22 is preferably located on the pendant scanner so as to face outwardly.

It will be seen that this arrangement can be advantageously used in association with the multiple range optical scanner system described\_above; the working ranges of the scanner can be determined to correspond with parameters

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determined ergonomically by the user; for example a first working range can correspond to the arrangement shown in Fig. 2b in which the item 26 is held at the user's waist level, and a second working range can correspond to an item being held at arms length. The scan area of the scanner 20 is shown in Fig. 2b at 27.

Figs. 4a and 4b show alternative mountings for an optical reader. Fig. 4a shows a point of sale application which could be applied equally in other applications whether the operator remains stationary and it is appropriate to move items to be scanned past the scanner rather than vice versa. A scanner 30 is shown mounted over a conveyor 31 at a point of sale 32. The scanner 30 is mounted on a support such as an arch 33 over a conveyor 31. As items 34 on the conveyor 31 pass under the arch 33 bar code symbols 35 on the items 34 are read by the scanner 30. In the embodiment shown the scanner 30 is roughly the shape of a quadrant of an ovoid with two substantially planar faces, a side face extending in the direction of the long axis of the ovoid and an end face extending in the direction of the short axis to the ovoid. The end face carries a scanning window 37 as shown in Fig. 4b. When mounted on the arch 33 the scanner 30 is oriented with its end face and scanning window 37 pointing downwards towards the bar code symbol 35 to be read.

In a further preferred option the scanner 30 can be detachably mounted on the arch 33 for example by including resilient gripping means on the arch 33. Accordingly the scanner 30 can be removed from the arch 33 and held in the user's hand 38 as shown in Fig. 4b. The end face and scanning window point outwardly away from the user's body and a control button 39 is placed on the planar side face so as to be easily operable by the user's thumb. The curved surface of the scanner 30 then fits snugly and comfortably into the user's hand 38. The scanner 30 can be simply aimed at an article to be scanned and operated by

actuating button 39. As a result the scanner 30 can be used in a wide variety of applications and in particular, for example, applications where items are too bulky or heavy or inappropriately positioned to be passed under the arch 31 and scanned.

Fig. 5 shows in more detail the pendant scanner of Fig. 3a and 3b incorporating the multiple working range optical arrangement of Fig. 1a. The scanner 20 is shown in partial cross section and partly from one side. The scanner comprises an elongate body having a long broad face 40 for resting against the user's chest when used as a pendant and an opposing face 41 in which is positioned a scanning window 42. The opposing face 41 is preferably slightly curved or otherwise inclined such that the scanning window points at a shallow downwards angle as ergonomically appropriate when the user is holding an item to be scanned at waist level.

The scanner 20 includes a light source 43 such as a laser or LED and a scanning mirror 44 which is rotated in a known manner to oscillate about an axis A. The light beam 45 generated by the laser 43 is reflected by the mirror 44 through the scanning window 42 onto a printed indicia such as a bar code symbol 46 to be read. Light reflected from the bar code symbol 46 passes back through the window 42 and is reflected once more by the mirror 44 via the lens system L<sub>1</sub>, L<sub>2</sub> onto a detector 47 such as a CCD array aligned with the image conjugate plane P. As discussed above, narrow band filters NBF<sub>1</sub>', NBF<sub>2</sub>' are associated with the lenses L<sub>1</sub>, L<sub>2</sub> respectively and can be moved in the direction implicated by the double-headed arrows in and out of position in order to select a desired working range. The filters are mounted for reciprocal movement by means of a rack 48 which is driven back and forth by a toothed wheel 49 driven by a motor and controller (not shown). Of course, any suitable method can be used.

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It will be appreciated that the lens elements  $L_1$ ,  $L_2$  can be replaced by any suitable optical arrangements such as holographic elements, prisms or gratings. The narrow band filters may be of any known type.

The desired working range can be selected manually by user input, or automatically by identifying for which working range an object is focused, for example by introducing each of the filters in turn and ascertaining which of the narrow band images is in focus.

An alternative scanner housing is illustrated schematically in Figure 6. This suitable for use with the embodiment of Figure 1, and/or for the embodiments of Figures 7 onwards.

The scanner housing of Figures 6a to 6c comprises an integrally molded and shaped head portion 100 and manually-graspable handle portion 102. Within the heat portion 100 are the scanning optics, for example those shown schematically in Figure 2. At the front end of the head portion 100 is a window 104 through which the scanning laser beam (not shown) exits the housing, when scanning is initiated by the user pressing the trigger 106. Information on the detected bar code symbol or other indicia is passed out of the scanner along a lead 108 to a base unit (not shown). Strain relief is provided by a flexible strain-relief element 109.

The housing incorporates front and rear feet 110,112, enabling the scanner to be laid down in the position shown on a flat desk top or other surface 114 (Figure 6a).

One method of achieving multiple working distances, for example within a bar code scanner, has already been described with reference to Figure 1a. Reference should now be made to Figure 11 which shows an alternative approach.

This embodiment incorporates dual laser assemblies 1310, 1311 emitting parallel beams. The laser assembly 1310 is focused for short-range operation. This will be referred to, for shorthand, as the "short-range laser". Similarly, the laser assembly 1311 is focused for long-range operation. This will be referred to, for shorthand, as the "long-range laser". Appropriate optics 1312, 1314 define the working range and different beam profile characteristics of the lasers. Alternatively, the short-range laser, and its optics, may be identical with the long-range laser and its optics.

The beam from the long-range laser 1311 is reflected by a pair of parallel angled mirrors (or by an appropriately shaped prism) so that the two resultant laser beams are closely parallel to each other. The beams impinge upon a scanning mirror 1322 from which they are reflected onto an indicia to be read (not shown, but off to the left of the drawing in Figure 11).

Instead of there being separate long range and short range lasers, a single laser could be used instead with appropriate optics (for example a beam splitter).

Light reflected back from the indicia is collected by the mirror 1322 (which acts as a collection mirror as well as a scanning mirror) and is directed to a photodetector 1324).

Details of the mirror 1322 are shown in Figure 12. As will be seen, the mirror is generally curved, and includes a large area of collecting surface 1324 with a central section which is split into two. The left side of the central section 1326 has a cylindrical profile, and the right hand section 1328 a flat profile. The light beam from the short-range laser 1310 impinges upon the portion 1326, and the light from the long-range laser 1310 impinges upon the portion 1328.

In use, a laser control operates the lasers so that they are switched on and off alternately. The system is controlled so that two scans (left to right, then right

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to left) are performed with the long-range laser on, and then two scans with the short-range laser on. The alternation continues until a successful decode has been achieved.

The system also provides for an aiming mode which is initiated by a user selecting a first position of a trigger 1328 on the scanner housing 1330. In this mode, the controller 1326 causes the long-range laser 311 to blink on and off while moving the mirror 1322. In this mode the user can easily see the scanning beam and he can align the indicia accordingly. As soon as the indicia has been properly aligned with respect to the scanner, the user moves the trigger 1328 to a second position to commence scanning proper.

In another embodiment, the laser assemblies 310, 311 have lasers of different frequencies. Visible laser diodes are now available in two different wavelengths, 635mm and 670mm. The shorter wavelength device (635mm) is more visible to the eye, and may preferably be used in high ambient light conditions or for aiming. Thus, in the preferred implementation, the 670mm laser diode could be focused as the "short range laser" and the 635mm laser diode focused as the "long range laser" since at long range the brightest beam is desirable for visibility and aiming. In high ambient light conditions, it is possible to use both lasers on, rather than alternate between the lasers. Although there will be two beams, if the beams are properly focused at the target plane so the spots are overlapping or very closely adjacent, the bar code symbol can be effectively read.

In still another embodiment, one of the laser assemblies 310, 311 is a visible laser and the other assembly an IR laser, whose beam is generally not visible to the eye. IR lasers are suitable for use in applications such as reading security badges that require an IR reading beam, reading direct thermal printed

bar codes; and reading certain colored bar codes. The same arrangement as shown in Fig. 11 may be used, except there is no limitation that the optics be "short range" or "long range". As in the previous embodiment, one can alternate scans between lasers or use both lasers on.

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Yet another embodiment is shown in Figure 7. Here lasers 201,202 are used, each having its own separate focusing optics 203,204. The optics differ in focal length, giving the two laser beams 205,206 differing points of focus and hence differing working ranges. Both beam are scanned by a scanning mechanism such as an oscillating mirror 207, and are directed towards and indicia 208 to be read. It will be understood that because of the differing focus lengths of the optics 203,204, only one of the two scanning beams will properly be in focus at the indicia 208. If the distance to the indicia is small, the beam corresponding to the optics of shorter focal length will be in focus; if the distance is large, the other beam will be in focus.

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Light 210 which has been reflected from the indicia 208 is collected by a collecting mirror 211, and passed to a photodetector 212. This produces an electrical output signal on a line 203 which is representative of the changes in intensity within the reflected light.

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The lasers 201,202 are repeatedly pulsed by a controller 260, at the same rate but out of phase so that one laser is on while the other is off. The controller also sends a signal along a line 261 to a time divisional sampler and demultiplexer 214 which accepts as input the signals on the line 213, and separates them out into a first pulse stream on a line 215 representing pulses from the first laser 201, and a second pulse stream on a second line 216 representing pulses from the second laser 202. In order to ensure that the sampling is accurate, the time division

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demultiplexer 214 samples at a rate greater than the Nyquist limit (twice the highest frequency contained in the signal on the line 213).

The individual pulse streams on the lines 215,216 are each individually processed by respective decoders 217,218 to provide respective high level outputs 219,220. The simultaneous processing/decoding allows for the indicia 208 to be decoded without delay, regardless of whether it is positioned at a distance suitable for scanning by the laser 201, or at a distance suitable for scanning by the laser 202. It will be understood, of course, that typically only one of the decoders 217,218 will produce a "valid decode" output for a given indicia at a given distance from the scanner.

Figure 8 shows a variant of the embodiment of Figure 7, with identical elements being given identical reference numerals. In this variant, the lasers are pulsed by the controller 260 at two different and unrelated frequencies. For the sake of discussion it will be assumed that the laser 201 is pulsed at a frequency  $f_1$ , and the laser 202 at a frequency  $f_2$ ; it will further be assumed that the signal bandwidth is  $f_5$ .

The output signal on the line 213 is then sampled at 229, and the sampled signal is passed through two bandpass filters 230,231. The filter 230 has a passband of  $f_1$  plus or minus  $f_s$ , whereas the second filter 231 has a passband of  $f_2$  plus or minus  $f_s$ . This filtering separates the output of the two lasers in the frequency domain, so that the output of the filter 230 on the line 232 represents the signal just from the laser 201, and the output from the filter 231 on the line 233 represents just the other laser 202. The two signals are processed/decoded simultaneously by respective decoders 234,235 to produce individual outputs 236,237. As before, this simultaneous decoding eliminates delay.

In either the embodiment of Figure 11, or the embodiments of Figures 7 and 8, the laser beams may if desired be directed onto two separate regions within the field of view. This could be achieved either by providing an appropriate spacing between the parallel laser beams, or by arranging for the laser beams to be slightly out of parallel alignment. The light which has been reflected from the two respective regions in the field of view may then be sensed simultanously to produce two data streams which are related to the detected light intensity in the respective two regions. From those two data streams, a single decoded representations may be derived.

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Depending on the set-up of the lasers, the reflected light from the two regions may differ either in intensity, frequency, or pulsing frequency. Such differences provide a convenient way of discriminating between light which has been reflected from the individual reasons, thereby enabling the two data streams to be conveniently separated. This applies whether the two regions are entirely separate from each other within the field of view, or whether they overlap.

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It will be understood that both the embodiment of Figure 7 and the variation of Figure 8 is not restricted to the use of exactly two lasers. As many lasers may be used as is required, with different working ranged for each.

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In some implementations it may be desirable not only to change the working distance, but also to change the scan pattern generated by an optical scanner. This may be achieved by means of the embodiment of Figure 9.

A laser 300 generates a beam 302 which is focused and shaped by beam optics 304 and directed to a stationary flat mirror 306. The beam is reflected from the mirror and onto a rotating polygon 308, which is driven for rotation about an axis 309. The reflections from the polygon produce a scanning laser beam 310.

The scanning beam next impinges upon an arrangement of fixed mirrors 312 in a crown configuration, with the various reflections 314 from the individual mirrors making up the crown, as the scanning beam 310 moves across, resulting in the omni-directional scan pattern shown in Figure 10a. In order to achieve this pattern, all of the reflecting surfaces are appropriately coated to provide a high level of reflectivity at the wavelength of the laser 300 (preferably 670 nm).

The scanning mechanism shown in Figure 9 further includes an additional laser 300' having its own optics array 304'. This laser operates at a different wavelength than the laser 300, and preferably at a wavelength of about 630nm. By coating only some of the relevant surfaces for reflection at 630nm, other scan patterns may be generated. For example, if only the central mirror element 314 of the crown is coated for reflection at 630nm, the scan pattern of Figure 10b may be generated. Other scan patterns could be created by selectively coating one or more of the other mirror elements 316, 318, 320, 322. In addition, further permutations may be obtained by coating only some of the surfaces of the rotating polygon 308 for reflection at 630nm. For example, if only one surface 324 of the polygon is coated, along with the central mirror element 314 of the crown, one can obtain the single line scan pattern shown in Figure 10c.

By coating all of the relevant surfaces for reflection at 670nm, and only some of the surfaces for reflection at 630nm, two different scan patterns may be generated by user selection or automatic selection of the appropriate laser. Manual selection could be achieved by means of a user-operable trigger, such as the trigger 3 shown in Figure 2; automatic control could be achieved by arranging for the scanner to detect the type of bar code symbol being scanned, and automatically selecting the scan pattern accordingly.

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A further benefit of the method is that the 630nm beam may be focused differently from the 670nm beam.

It will be understood of course that more than two lasers could be used, each having a different wavelength. The described wavelengths of 670 and 630nm, while preferred, could be varied if necessary accordingly to the particular application.

It will be appreciated, in connection with Figure 9, that a variety of other methods could be used to achieve the same effect. In Figure 9, the beams of differing wavelengths are selected by means of coatings, but of course it would also be possible to select the beams by conventional filters attached to or positioned in front of the mirrors. It would also be possible to separate the wavelengths using holographic elements and/or diffraction gratings. Where coatings are used they may be applied, as a thick layer, to a conventional mirror, thereby acting as a conventional filter to block light of a certain wavelength. Alternatively, the coatings may be a thin layer, arranged to reinforce or to cancel out the incident waveform by optical interference techniques.

While the optical system of Fig. 1 has been described in relation to a bar code reader it will be appreciated that it could equally be used in any optical arrangement requiring differing working ranges. For example the invention could be used in conjunction with a CD ROM pick-up, a camera, a telescope or other optical systems.

While the invention has been illustrated and described with reference to a number of particular embodiments, it is not intended to be limited to any of the details shown, since various modifications and structural changes may be made without departing in any way from the spirit of the present invention.

Without further analysis, the foregoing will so fully reveal the gist of the present invention that others can, by applying current knowledge, readily adapt it for various applications without omitting features that, from the stand point of prior art, fairly constitute essential characteristics of the generic or specific aspects of this invention. Accordingly, such adaptations should be and are intended to be comprehended within the meaning and range of equivalence of the following claims.

#### **CLAIMS:**

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- 1. A multiple working range optical system comprising an optical assembly having first and second optical elements and associated respective first and second narrow band optical filters for producing narrow band images of objects at respective first and second working ranges.
- 2. A system according to claim 1 including a selectable filter array having first and second selectable filters, and a switch mechanism for selecting the first or second working ranges, whereby:
  - (a) when the first working range is selected, light from an object is imaged by the first optical element in a narrow band defined by the first narrow band optical filter and the first selectable filter; and
  - (b) when the second working range is selected, light from an object is imaged by the second optical element in a narrow band defined by the second narrow band optical filter and the second selectable filter.
- 3. A system according to claim 1 wherein the selectable filter array comprises a plurality of movable filters.
- 4. A system according to claim 1 wherein said first and second optical elements are arranged to image objects at their respective working ranges at a coincident image plane.
- 5. A system according to claim 4 including a photographic film at said image plane.

- 6. A system according to claim 4 including a charge-coupled-device array at said image plane.
- 5 7. A system according to claim 1 including a multi-focus lens defining said first and second optical elements.
- 8. A system according to claim 7 wherein said multi-focus lens is circular,
  said first and second optical elements comprising respective segments of said
  lens.
  - 9. A system according to claim 1 wherein said first and second optical elements comprise first and second refracting lenses.
- 15 10. An optical reader for reading indicia including a multiple working range optical system according to any one of the preceding claims.
  - 11. A bar code scanner including a multiple working range optical system according to any one of claims 1 to 9.

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12. An optical scanner for reading indicia comprising a housing, a beam generator and scanner within the housing for producing a scanning light beam, a window in the housing allowing the light beam to exit the housing toward an indicia to be read, and a light detector defecting reflected light for said indicia; the housing having a strap for positioning around the neck of a user whereby the scanner may be worn as a pendant.

- 13. A scanner according to claim 12 wherein said housing defines a front surface and a rear surface, said window being in said front surface, such that said light beam is directed outwardly, away from said user, when the scanner is being worn as a pendant.
- 14. A scanner according to claim 12 wherein said light beam is directed outwardly and downwardly when the scanner is being worn as a pendant.
- 15. An optical scanning station having a conveyor for moving articles carrying indicia to be read past a support, the support being arranged to hold an optical scanner in an elevated position, above said conveyor, said optical scanner having a beam generator and scanner for producing a scanning light beam, said beam being directed downwardly to read indicia on articles that pass on said conveyor under said optical scanner.
  - 16. An optical scanning station according to claim 15 wherein the support comprises an arch over said conveyor.
- 20 17. An optical scanning station according to claim 15 wherein said optical scanner is detectable from said support for use in a hand-held mode.
  - 18. A point of sale station including an optical scanning station according to any one of claims 15 to 17.

- An optical scanner having first and second lasers for producing respective first and second laser beams, a laser controller for pulsing the lasers, a scanning mechanism for receiving the laser beams and for directing the beams toward an indicia to be read, a detector for detecting light reflected from the indicia and for producing electrical signals representative thereof, and a signal separator for extracting from the electrical signals first and second output signals representative respectively of reflections from the indicia of the first and second laser beams.
- 20. An optical scanner according to claim 19 wherein said signal separator is a
   sampler and demultiplexer.
  - 21. An optical scanner according to claim 19 including first and second decoders for attempting to decode, simultaneously, said first and second output signals.

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- 22. An optical scanner according to claim 19 in which the laser controller alternately pulses the lasers.
- 23. An optical scanner according to claim 19 in which the laser controller pulses the lasers at differing frequencies.
  - 24. An optical scanner according to claim 23 wherein said signal separator includes a bandpass filter.

- 25. An optical scanner according to claim 19 arranged to detect an indicia at a first working distance using the first laser beam and to detect an indicia at a second working distance using the second laser beam.
- An optical scanner according to claim 19 wherein said lasers are pulsed at a frequency which is greater than twice the highest frequency to be found in the electrical signals produced by the detector.
- 27. A multi scan-pattern optical scanner including a laser assembly for producing a plurality of laser beams of differing wavelengths and a scanning mechanism including a wavelength selector for selectively passing a beam of predefined wavelength thereby producing at least a first scan pattern from a beam which is passed by the selector and a second scan pattern from a beam which is stopped by the selector.

- 28. A scanner according to claim 27 wherein the wavelength selector includes a wavelength-selective coating.
- 29. A scanner according to claim 28 wherein the coating is arranged to pass at least one of the laser beams and to absorb at least another of the laser beams.
  - 30. A scanner according to claim 28 wherein the coating is a mirror coating.
- 31. A scanner according to claim 30 wherein the coating is applied to a moving mirror.

- 32. A scanner according to claim 30 wherein the coating is applied to a stationary mirror.
- 33. A scanner according to claim 30 wherein the scanning mechanism includes
  a moving assembly having a plurality of mirror elements, the coating being applied to some but not all of the elements.
  - 34. A scanner according to claim 33 wherein the moving assembly comprises a rotating polygon.

- 35. A scanner according to claim 30 wherein the scanning mechanism includes a stationary assembly having a plurality of mirror elements, the coating being applied to some but not all of the elements.
- 15 36. A scanner according to claim 35 wherein the stationary assembly includes a crown-arrangement of mirror elements.
  - 37. A scanner according to claim 27 wherein the laser assembly includes first and second lasers.

- 38. A scanner according to claim 27 including laser optical assemblies for focusing the laser beams for effective scanning of indicia at different respective working distances.
- 25 39. A method of reading optically encoded information comprising the steps of:

- (a) providing first and second different laser beams;
- (b) illuminating with said laser beams a field of view including encoded information;
- (c) detecting light resulting from said first and second laser beams being reflected from the field of view; and
- (d) deriving from said detected light the encoded information.
- 40. A method according to Claim 39 wherein the first and second laser beams are of differing frequencies.

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- 41. A method according to Claim 39 wherein the first and second laser beams are pulsed so that at any time only a single beam illuminates the field of view.
- 42. A method according to Claim 41 wherein each beam pulse is of sufficient duration to permit scanning of an entire symbol.
  - 43. A method according to Claim 39 wherein said first and second laser beams are scanned across said field of view, said first beam being scanned in a first direction and said second beam being scanned in a second, different, direction.

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- 44. A method according to Claim 39 wherein said deriving step uses data from reflection of both said first and said second beams.
- 45. A method of reading optically encoded information comprising the steps of:

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illuminating a field of view including encoded information;
sensing light reflected from two regions in the field of view to
simultaneously produce two data streams related to the detected light intensity in
the respective two regions in the field of view; and

deriving a single decoded representation of the optically encoded information from the two data streams.

- 46. A method according to Claim 45 wherein the light reflected from one of said regions is of a different frequency from the light reflected from the other of said regions.
- 47. A method according to Claim 45 wherein the field of view is illuminated by pulsed light, light reflected from one of said regions being pulsed at a different frequency from light reflected from one of said regions being pulsed at a different frequency from light reflected from the other of said regions.
- 48. A method according to Claim 45 wherein said two regions overlap.

# OPTICAL SCANNER HAVING MULTI-FOCUS LENS FOR MULTIPLE WORKING RANGES ABSTRACT

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A multiple working range optical system includes a lens system having various focal lengths and being arranged to focus an object in a first predetermined working range and an object in a second predetermined working range at a coincident image plane, narrow band filters being associated with each lens to allow isolation of an image of an object at one or other working ranges. In another aspect, an optical scanner which optionally includes the multiple working range optical system is pendant-mounted and worn by a user or is mounted on an arch over an area through which items carrying bar code symbols are passed.

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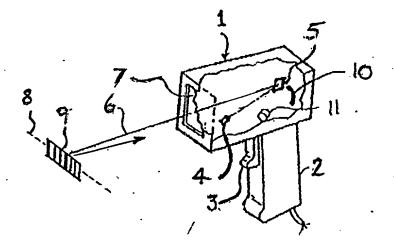
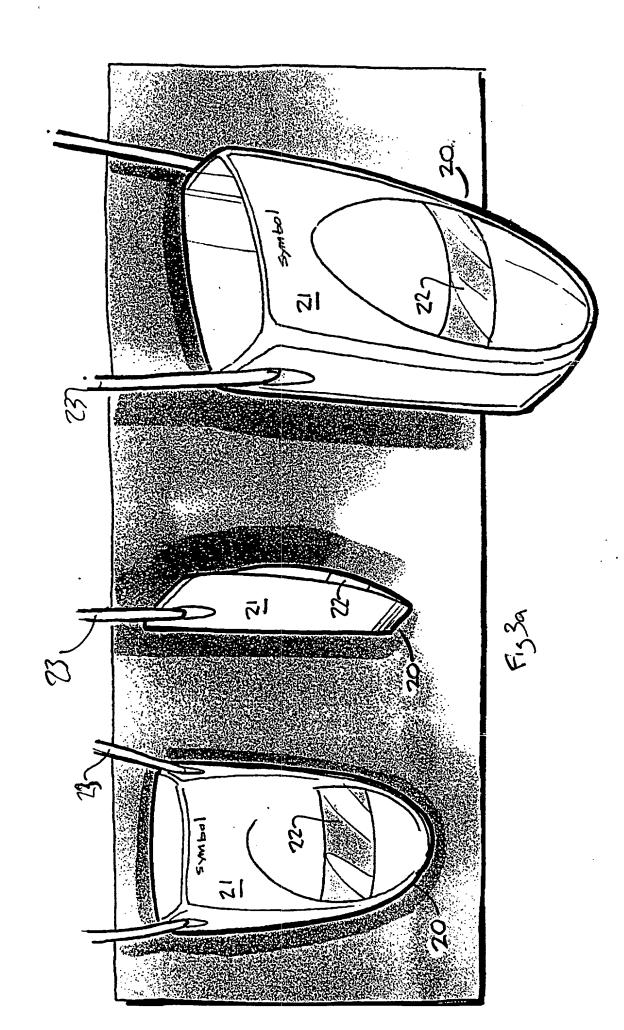
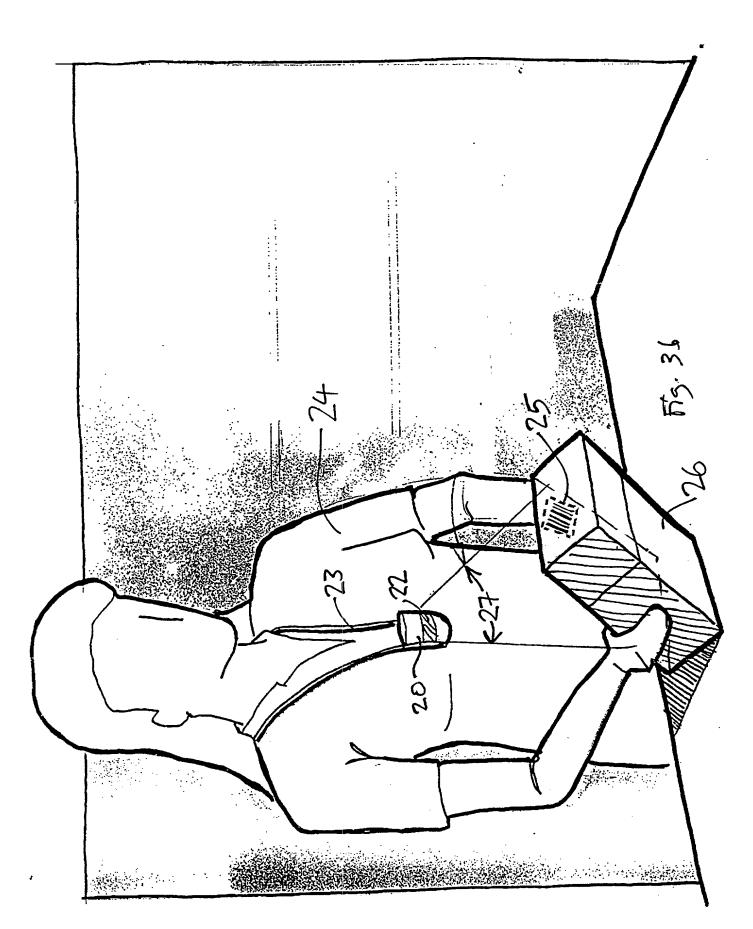
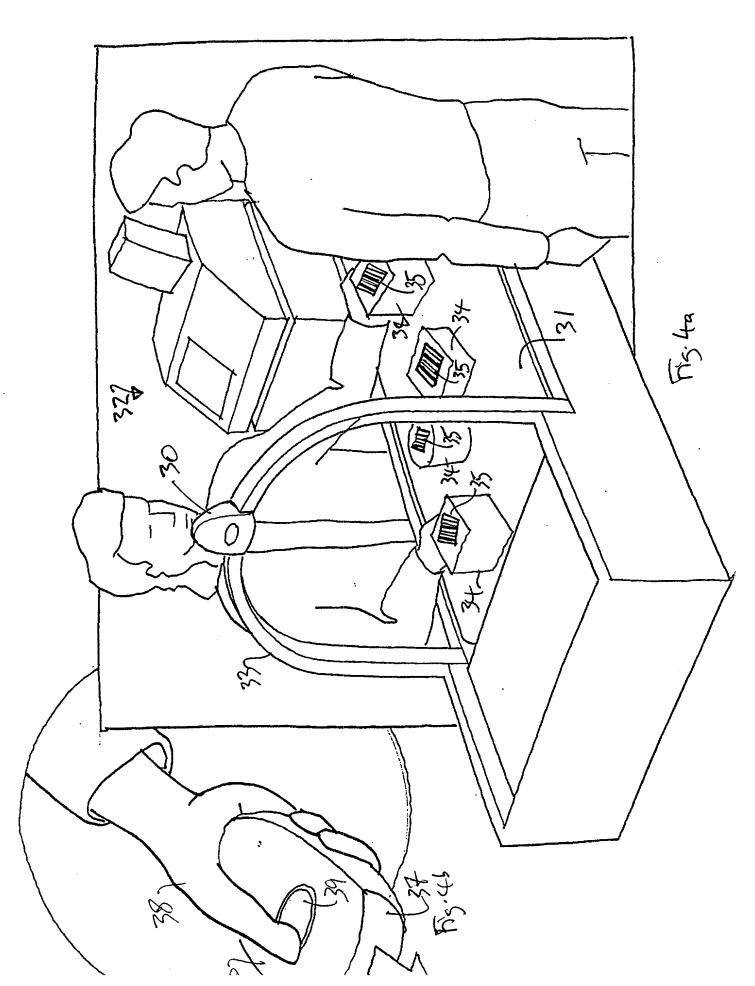


Figure 2







. 12

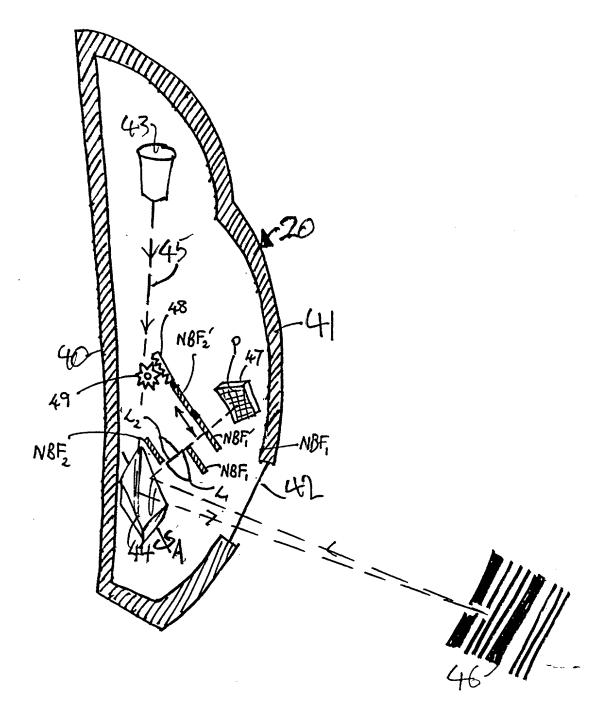
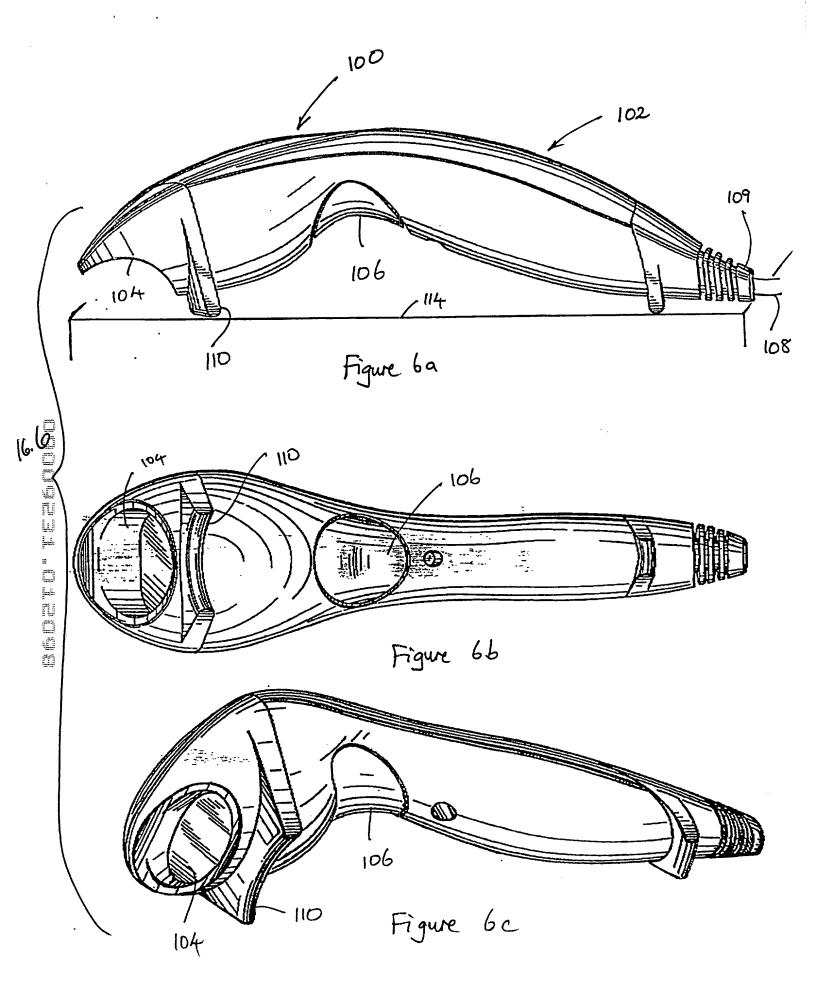


Fig.5



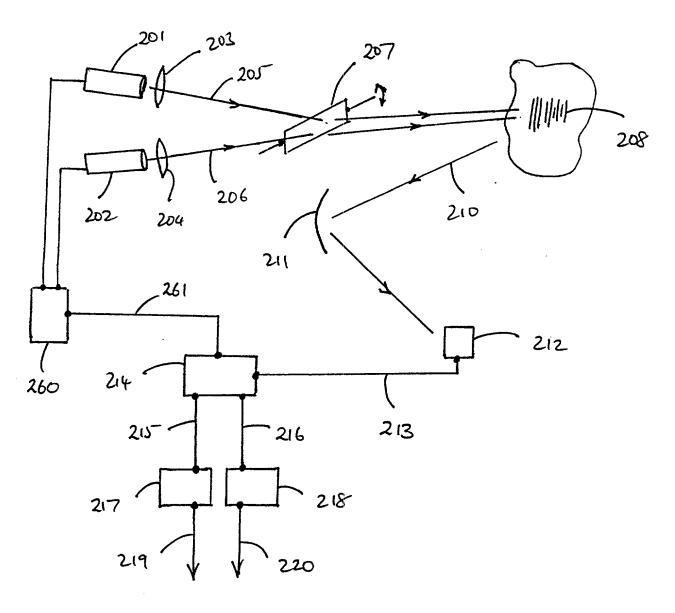


Fig 7.

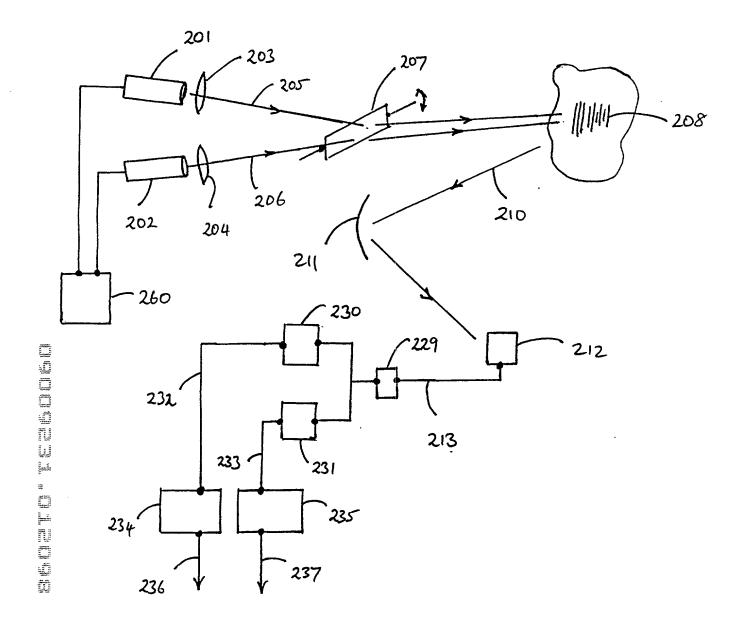
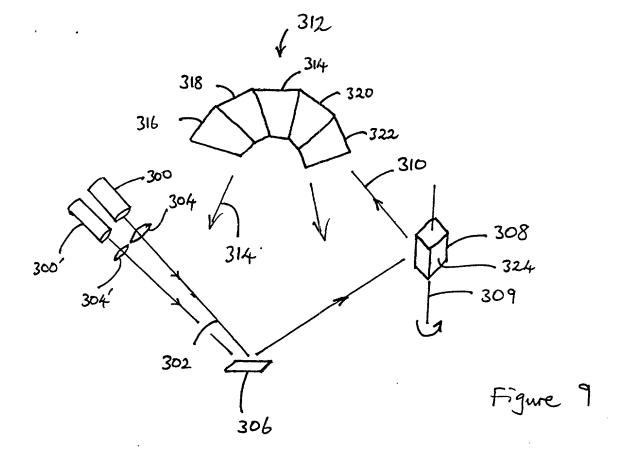


Fig 8



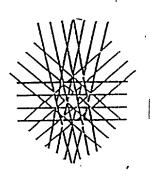
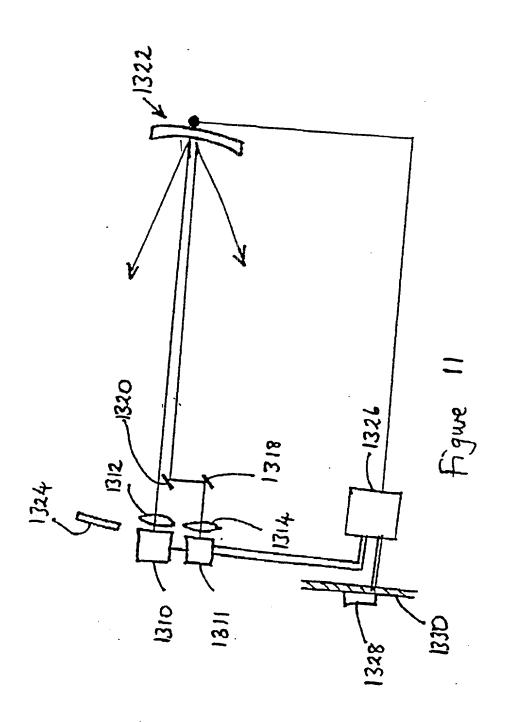


Figure 10a

Figure 10 b



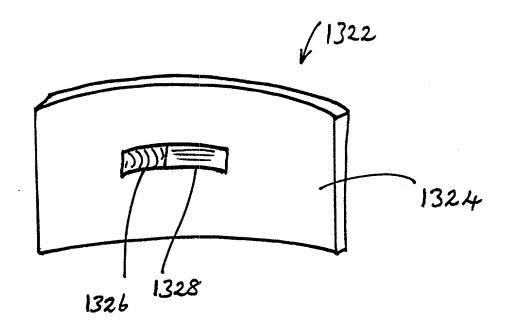


figure 12

the specification of which

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### OPTICAL SCANNER HAVING MULTI-FOCUS LENS FOR MULTIPLE WORKING RANGES

X wa	attached hereto. s filed on pplication Serial No08/_ d was amended on			
I hereby st	ate that I have reviewed and t	understand the contents of the about amendment referred to above.	-	ecification,
	•	information which is material to 7, Code of Federal Regulations, §		tion of this
for patent	or inventor's certificate listed or inventor's certificate having	tle 35, United States Code, §119 o below and have also identified be g a filing date before that of the ap	low any foreign	application
Prior Fore	ign Application(s)		PRIORITY	CLAIMED
(Number)	(Country)	(Day/Month/Year Filed)	Yes —	No No
•	laim the benefit under Title 35 n(s) listed below.	5, United States Code 119(e) of an	y United States	provisional
(Application	n Numbers)	(Filing Date)		

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/405,585	03/17/95	Pending
(Application Serial No.)	(Filing Date)	(Patented, Pending, Abandoned)
(Application Serial No.)	(Filing Date)	(Patented, Pending, Abandoned)
statements made on informat were made with the knowled fine or imprisonment, or both	ion and belief are believed ge that willful false statements, under Section 1001 of	my own knowledge are true and that all to be true; and further that these statements ents and the like so made are punishable by Title 18 of the United States Code and that lity of the application or any patent issued
prosecute this application are therewith:	nd transact all business in t	hereby appoint the following attorneys to the Patent and Trademark Office connected tiel McGlynn, Esq., Reg. No. 26,570
Send Correspondence to:	KIRSCHSTEIN, OTTIN 551 Fifth Avenue New York, New York 10	IGER, ISRAEL & SCHIFFMILLER, P.C.
Direct Telephone calls to:	Alan Israel (212) 697-37	750
Inventor's signature		Date
Mark KRICHEVER		
Full name of first joint inventor		
Hauppauge, New York		
Residence		<del></del>
United States		
Citizenship		

26 Carldon Lane, Hauppauge, New York, 11788

Post Office Address

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature	Date
Joseph CAMPANELLI	
Full name of second joint inventor	•
Selden, New York	
Residence	•
United States	
Citizenship	•
121 Marshall Drive, Selden, New York, 11784	
Post Office Address	•
1000 011100 11001000	
Inventor's signature	Date
inventor's signature	Date
Lisa COURTNEY	
Full name of third joint inventor	•
Holbrook, New York	
Residence	•
United States	
Citizenship	-
1260 Tuttle Street, Holbrook, New York, 11741	
Post Office Address	·
Inventor's signature	Date
inventor 3 signature	Date
Peter FAZEKAS	
Full name of fourth joint inventor	-
Medford, New York	
Residence	-
United States	
Citizenship	-
23 Robinson Avenue, Medford, New York, 11763	
Post Office Address	-

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature	Date
Joel KAHN	
Full name of fifth joint inventor	-
Rockville Centre, New York	
Residence	_
United States	
Citizenship	-
49 Shepherd Street, Rockville Centre, New York, 11590	
Post Office Address	=
Inventor's signature	Date
Jerome SWARTZ	_
Full name of sixth joint inventor	_
Old Field, New York	_
Residence	
United States	_
Citizenship	_
19 Crane Neck Road, Old Field, New York, 11733	_
Post Office Address	_
•	
Inventor's signature	Date
Vladimir GUREVICH	_
Full name of seventh joint inventor	
Ronkonkoma, New York	_
Residence	
Russia	_
Citizenship	_
500 Peconic Street, Apt. 14A, Ronkonkoma, New York 11779	_
Part Office Address	<del>-</del>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature	Date
Boris METLITSKY	
Full name of eighth joint inventor	
Stony Brook, New York	
Residence	<del></del>
United States	
Citizenship	
23 Acorn Lane, Stony Brook, New York, 11790	
Post Office Address	<del></del>

### DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### OPTICAL SCANNER HAVING MULTI-FOCUS LENS FOR MULTIPLE WORKING RANGES

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		understand the contents of the about amendment referred to above.		pecification,
		information which is material t 7, Code of Federal Regulations, §		tion of this
for patent or inv	entor's certificate listed	tle 35, United States Code, §119 of below and have also identified be g a filing date before that of the ap	low any foreign	application
Prior Foreign A	application(s)		PRIORITY	CLAIMED
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
I hereby claim t application(s) l		5, United States Code 119(e) of ar	ny United States	s provisional
(Application Num	nbers)	(Filing Date)		